

Annex 1

First attempt at establishing possible indicators prepared by Slovenia, followed by the list of criteria suggested by Germany and the comments from the Netherlands and Latvia

Criteria	Possible indicators
Criteria for monitoring and assessing progress in accomplishing actions and sub-actions	
Actions and sub-actions taken over by the MS or stakeholders	Number of actions and sub-actions taken over by the MS and stakeholders
Detailed work programmes prepared for actions and sub-actions	Number of actions and sub-actions with detailed work programmes
Creating common understanding in the NTCCP: presentation of individual actions/sub-actions and discussion	Individual actions discussed at the NTCCP at least once per year
Communication and awareness raising activities during implementation of actions	Activities by type and targets addressed
Results of actions/sub-actions to be presented at ministerial meetings	Number of actions/sub-actions which brings results to ministerial meetings
Criteria for monitoring and assessing communication and awareness raising activities	
Communicating and awareness raising concerning the TA EU and AP1 among stakeholders at the EU level: <ul style="list-style-type: none"> - Prepared/updated list of events and circulated to the NTCCP - Prepared/updated PowerPoint presentation and passed to the MS and stakeholders - Setting up the web page/regularly updated - Setting up e-mail 	Communication of the TA, AP1, LC to stakeholders (+/- using pre-prepared .ppt)
	Visits to the web page
	Opinions sent to the e-mail address
Communicating and awareness raising at the national level: <ul style="list-style-type: none"> - TA EU, AP1, LC accessible on the national web page in the national language(s) - National events (conferences, workshops, seminars) - Other activities (regional conferences, workshops, seminars, published documents) 	Number of MS to have documents accessible on web pages in national languages
	Number of national events promoting the principles and priorities of the TA, AP1, LC

Contribution of actions to the aims of implementation of the AP1 (AP1, page 14)			
Aims of implementation	List of actions that can contribute to the aims described	Foreseen outcomes by action	Possible indicator to monitor and assess the contribution to the aims of implementation of the AP1 by action (<i>should be defined in parallel with the action development</i>)
Better coordination between the spatial policies of the Member States by introducing a European dimension, and defining and implementing common priorities	LA 1: To implement the TA in the areas of competence of the ministers at EU and MS levels		
	<u>Act 1.1:</u> Prepare and promote policy options to foster coordination between spatial and urban development in the light of the Territorial Agenda and the Leipzig Charter, at EU and MS levels	Included in the final report: <ul style="list-style-type: none"> - policy options for coordination btw. territorial and urban development - proposal for further activities to strengthen the coordination btw. territorial and urban development - exchange of knowledge 	<i>Number of coordination activities set according to the results of 1.1 at the EU level</i>
	<u>Act 1.1a:</u> Urban-rural relationship	To be defined later	
	<u>Act 1.2:</u> Report on the integration of territorial priorities and challenges of the TA in spatial development policies,	To be defined when the responsible partner is nominated	

	programmes and plans at MS, cross-border and transnational levels		
	<u>Act 1.3:</u> Assess how the territorial priorities and challenges of the TA are being taken into consideration in the implementation of the NSRF and operational programmes	To be defined when the responsible partner is nominated	<i>Number of NSRF, OP taking into account territorial priorities and challenges of the TA EU</i>
Improved coherence between EU policies and spatial development policies within the Member States by influencing EU policies from a territorial cohesion point of view	LA 2: To influence EU key dossiers and to give a territorial/urban dimension to sectoral policies		
	<u>Act 2.1:</u> Cooperate with the EC to use the activities of the TCUM thematic working groups to analyse key dossiers, policies and legislation at MS and EU levels, in the light of the Territorial Agenda and the Leipzig Charter	To be defined when the responsible partner is nominated	
	<u>Act 2.2:</u> Scope of territorial impacts of selected sectoral policies together with sectoral policy-makers and joint analysis of the introduction of territorial impact assessment in the policy process	<ul style="list-style-type: none"> - overview of MS experiences with territorial impacts of sectoral policies - experiences using TIA at EU and MS levels - good practices - recommendations on including the territorial dimension in the impact assessment system of the EC 	<i>Improved impact assessment procedure taking into account territorial impacts (TI) at the EU level</i>
	<u>Act 2.3:</u> Analyse key dossiers, policies and legislation from the point of view of the regions with specific territorial constraints	To be defined when the responsible partner is nominated	
	<u>Act 2.4:</u> Prepare and promote contributions of ministers to the debate on the most relevant EU key dossiers from the point of view of sustainable spatial development and territorial cohesion	Contribution by ministers to the EU key dossier on different EU key dossiers (cohesion policy, CAP and rural development, sustainable development, Lisbon Strategy, transport policy)	<i>Including territorial concerns in the EU key dossiers</i>
Improved vertical and horizontal coordination and participation of private and public sectors to more responsive territorial governance	LA 3: To strengthen multi-level territorial governance at EU and MS levels		
	<u>Act 3.1:</u> Design and implement a strategy to promote transparent decision-making processes in the administration and with public and private stakeholders as well as non-governmental organisations on territorial policies at EU and MS levels	To be defined when the responsible partner is nominated	
	<u>Act 3.2:</u> Convene with selected stakeholders on their commitment to implement TA territorial priorities in their own	Agreement on the commitment of stakeholders to implement TA territorial priorities	<i>Activities taken by stakeholders in view of the aim</i>

	action programmes and initiatives		
	<u>Act. 3.2a:</u> Urban development priorities	To be defined when the responsible partner is nominated	
	<u>Act. 3.2b</u> Potential impacts of climate change on spatial development and key economic sectors in the Alpine space	To be defined when the responsible partner is nominated	<i>Number of cooperation projects under the Alpine space programme implementing TA priority 5</i>
Better understanding of the spatial system and territorial trends at the European Union level	LA 4: To compare and assess the territorial state, perspectives, trends and policy impacts in the EU and MS from the point of view of territorial cohesion and sustainable spatial development		
	<u>Act 4.1:</u> Use, within its institutional framework and on the basis of its management arrangements, the ESPON 2013 Programme to develop a robust platform of knowledge on territorial state, perspectives, trends and policy impacts in the EU and MS from the point of view of territorial cohesion and sustainable spatial development	- <i>Applied territorial research and targeted analysis and progress on scientific work on data and indicators connected to priority 1 and 2</i>	<i>Establishment of a platform of knowledge on territorial state, perspectives, trends and policy impacts under ESPON</i> <i>New knowledge of European territorial dynamics</i>
	<u>Act 4.2:</u> Use, within its institutional framework and on the basis of its management arrangements, the ESPON 2013 Programme and the other European international territorial and urban networks and <i>fora</i> including the OECD Territorial Development Policy Committee to support monitoring and assessment of territorial development and cohesion from 2008 onwards	- <i>applied territorial research and targeted analysis and progress on scientific work on data, indicators and monitoring connected to priority 3</i>	<i>Territorial Monitoring reports under ESPON completed (according to AP1: one every second year, starting in 2009)</i>
	<u>Act 4.3:</u> Update the Territorial State and Perspectives of the EU (TSP) before the TA mid-term review in 2011	To be defined when the responsible partner is nominated	

Proposals and comments by the Member States

1 Proposal by Germany (9 April 2008)

List of the "implementation of AP 1" criteria

- **Political framework at the European level**
 - Contributions to EU key dossiers by ministers
 - Political support by stakeholder, which stakeholder, and what is the territorial component of this support?
- **Legal framework**
 - Changing or adding legal framework in the Member States
 - What act or regulation?
 - What is changed or added?
 - Changing or adding legal framework at the European level
 - What act or regulation?
 - What is changed or added?
- **Fiscal framework**
 - Are there any public programmes in the Member States to implement the actions of the TAEU?
 - What programmes (grants or tax privileges), what is the special contribution of this programme to the objectives of the TAEU?
 - What is the special territorial component of this programme?
 - Are there any programmes at the European level to implement the actions of the TAEU?
 - What programmes, what is the special contribution of this programme to the objectives of the TAEU?
 - What is the special territorial component of this programme?
 - NSRF and OP: how does this document take the territorial priorities and challenges of the TA EU into account?
- **Scientific framework**
 - Are special scientific projects being launched by the ministers responsible for territorial cohesion (title, aim, time schedule, budget, expected results)?
 - Are special scientific projects being launched by the Commission (title, aim, time schedule, budget, expected results)?
 - ESPON: Are special scientific projects being launched by ESPON (title, aim, time schedule, budget, expected results)?
 - Are scientific networks being established in order to give advice to implement the actions of the TAEU (what persons, what professions, aim, time schedule, budget, expected results)?
- **Publicity and campaigns**
 - Communicating and awareness raising at the national level:
 - TA EU, AP1, LC accessible on national web pages in the national language(s)
 - National events (conferences, workshops, seminars, press articles)
 - Other activities (regional conferences, workshops, seminars, published documents)
 - Communicating and awareness raising concerning the TA EU and AP1 among stakeholders at the EU level:
 - Prepared/updated list of events and circulated to the NTCCP
 - Prepared/updated Power Point presentation and passed to the MS and stakeholders
 - Setting up the web page/regularly updated
 - Setting up e-mail

2 Comments and proposals from the Netherlands

The German proposal was **commented** by **the Netherlands** (30 May 2008). They suggested using only "publicity and campaign" criterion and skipping all the others. They supported the idea to look at the way how the goals of the TA are taken into account in policies in the MS.

3 Opinion of Latvia on the monitoring indicators regarding implementation of First Action Programme for the implementation of the Territorial Agenda of the EU

Latvia acknowledges the active engagement of the Slovenian Presidency in the work on the implementation of First Action Programme for the implementation of the Territorial Agenda of the EU. We understand that the establishment of a monitoring mechanism is not an easy task, taking into account that identification of an optimal number of criteria to be evaluated impartially and the indicators which reflect implementation progress of the AP1. Furthermore, it is essential to identify such criteria and their indicators of which the Member States have information available.

In order to foster a high-quality monitoring process Latvia has evaluated the proposal prepared by the Slovenian Presidency on the monitoring criteria and indicators regarding implementation of the AP1 and would like to provide the following comments and recommendations for their improvement:

	Indicator	Comments from Latvia
1.	<i>Number of coordination activities set according to the results of 1.1</i>	Taking into account the information rendered in the NTCCP meeting on 18 March 2008 that implementation of the action 1.1 is planned to be completed no sooner than at the end of 2008, EU Member States will only be able to submit information regarding fulfilment of the indicator after getting exanimate with the implementation results of action 1.1.
2.	<i>Improved impact assessment procedure taking into account TIA on EU level</i>	It is not clear what kind of information would have to be submitted by the Member States in order to impartially evaluate implementation of the indicators. We think that the criteria in the current wording allow the Member States to interpret very broadly the information to be submitted which can hinder collection of information and evaluation of the fulfilment of indicators.
3.	<i>Number of analysis from the actions analysing EU key-dossiers from the view of regions with specific territorial constraints</i>	
4.	<i>Establishment of a platform of knowledge on territorial state, perspectives, trends and policy impacts under ESPON</i>	Thus, we recommend either to clarify more precisely what kind of information has to be submitted by the Member States regarding evaluation of fulfilment of the said criteria

5.	<i>Number of results on state, perspectives, trends and policy impacts under ESPON</i>	or to define quantitatively measurable monitoring indicators.
6.	<i>Number of calls of proposals for applied research and targeted analysis under ESPON</i>	We believe that better understanding about the system would be characterized, if the indicator would be expressed in the following wording: “ Number of proposals submitted for applied research and targeted analysis under ESPON”. We think that the higher is the level of understanding about the spatial system and territorial trends, the greater will be the number of proposals and initiatives, thus it is important to see whether calls have reached the target.
7.	<i>Implementation of the strategy</i>	We would like to point out that implementation of the strategy is a process and cannot be regarded as a performance indicator, therefore we suggest to edit the indicator by expressing it in the following wording: “ Implementation of the strategy’s result indicators ”
8.	<i>Number of national events by type</i>	It is not clear from the given description what the difference between those indicators is. Thus, a clarification would be required regarding about which kind of events the information has to be submitted in order to evaluate fulfilment of each of the said indicators.
9.	<i>Number of other events by the MS</i>	

Additionally, we suggest to clarify regarding which identified indicators will report the Member States and which Presidency. As well as point out whether only those Member States which have indicated themselves as the responsible and co-responsible for implementation of separate actions/sub-actions from AP1 will have to report on indicators or it shall be done by all EU Member States.

We draw your attention to the fact that at the moment Latvia has not applied as the responsible or co-responsible country for implementation of any actions/sub-actions from the AP1. Although at the same time several priorities¹ have been put forward which will be carried out to promote implementation of priorities of the TA in Latvia. In addition, Latvia is planning to actively participate in the implementation of such actions/sub-actions from AP1 as 1.1.a; 1.2.a; 2.4.a; 2.4.b; 2.4.d.; 2.4.f; 3.2.a.

¹ Priorities in Latvia: integration of priorities of the TA in the territorial development policies of Latvia; drafting opinion of Latvia on the connection of EU policies with territorial development; research issues within the framework of ESPON; communication activities to improve awareness-raising regarding territorial cohesion in the EU and promotion of TA